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PTO/SB/21 (08-03)

Approved for use through 08/30/2003. OMB 0651-0031

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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	10/673,674
	Filing Date	September 29, 2003
	First Named Inventor	Michael D. Hitchcock
	Art Unit	2127
	Examiner Name	N/A
Total Number of Pages in This Submission	Attorney Docket Number	C069

ENCLOSURES (Check all that apply)		
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Michael O. Scheinberg
Signature	
Date	2/9/05

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Attorney Docket No. C069

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICATION NO.: 10/673,674 ART UNIT: 2127  
APPLICANT(S): 09/29/2003 EXAMINER: N/A  
FILING DATE: Michael D. Hitchcock  
TITLE: Universal Forms Engine

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Pursuant to the guidelines for Information Disclosure Statements, 37 C.F.R. §§ 1.97-1.98, attached hereto is Form PTO SB08A with references listed for consideration by the Office. Copies of cited US patents are not provided in accordance with the waiver published in the August 5, 2003 Official Gazette.

In accordance with 37 C.F.R. §§ 1.97(g)-(h), the filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made or an admission

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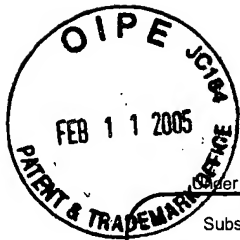
that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

This Information Disclosure Statement is being filed before the mailing of a first Office action on the merits.

Respectfully submitted,

Date: 2/9/05

By:   
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PTO/SB/08A (08-03)

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 3

**Complete if Known**

Application Number	10/673,674
Filing Date	09/29/2003
First Named Inventor	Michael D. Hitchcock
Art Unit	2127
Examiner Name	N/A
Attorney Docket Number	C069

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	S	US- 5,410,646	04/25/1995	Tondevoid et al.	
	U	US- 5,490,243	02/06/1996	Millman et al.	
	C	US- 5,495,565	02/27/1996	Millard et al.	
	D	US- 5,666,502	09/09/1997	Capps	
	E	US- 5,704,029	12/30/1997	Wright, Jr.	
	F	US- 5,745,681	04/28/1998	Levine et al.	
	G	US- 5,758,126	05/26/1998	Daniels et al.	
	H	US- 5,794,259	08/11/1998	Kikinis	
	I	US- 5,845,300	12/01/1998	Comer et al.	
	J	US- 5,890,175	03/30/1999	Wong et al.	
	K	US- 5,897,622	04/27/1999	Blinn et al.	
	L	US- 5,963,952	10/05/1999	Smith	
	M	US- 5,987,480	11/16/1999	Donohue et al.	
	N	US- 5,999,939	12/07/1999	De Hilster et al.	
	O	US- 6,028,938	02/22/2000	Malkin et al.	
	P	US- 6,035,276	03/07/2000	Newman et al.	
	Q	US- 6,058,373	05/02/2000	Blinn et al.	
	R	US- 6,101,482	08/08/2000	DiAngelo	
	S	US- 6,199,079	03/06/2001	Gupta et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(Use as many sheets as necessary)*

Sheet

2

of

3

**Complete if Known**

Application Number	10/673.674
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Filing Date	09/29/2003
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First Named Inventor	Michael D. Hitchcock
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Art Unit	2127
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Examiner Name	N/A
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Attorney Docket Number	C069
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## U. S. PATENT DOCUMENTS

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## FOREIGN PATENT DOCUMENTS

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Substitute for form 1449/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)		<b>Complete if Known</b>			
		Application Number	10/673,674		
		Filing Date	09/29/2003		
		First Named Inventor	Michael D. Hitchcock		
		Art Unit	2127		
		Examiner Name	N/A		
Sheet	3	of	3	Attorney Docket Number	C069

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	V	MARTIN R. FRANK and PEDRO SZEKELY, "Adaptive Forms: An Interaction Paradigm for Entering Structured Data," University of Southern California, IUI, 1998, pp. 153-160.	
	W	HOSSEIN SAJEDIAN and KA-WING WONG, "An Operational Model for Intelligent Forms in Office Automation," University of Nebraska and Eastern Kentucky University, ACM, 1995, pp. 415-419.	
	X	TING-JUN FAN, RONA S. MACHLIN, CHRISTOPHER P. WANG and IFAY F. CHANG, "FormPlus: A Form Authoring Toolkit," IBM T.J. Watson Research Center, IEEE, 1990, pp. 255-260.	
	Y	ANTHONY B. DAYAO, KAMRAN RAFIEYAN, PIL J. LEE, RICHARD F. GRAVEMAN, YACOV YACOBI and ERIC J. ADDEO, "SuperForms: A Security-Enhanced Smart Electronic Form Management System," Bellcore, IEEE, 1990, pp. 1079-1083.	
	Z	C. S. SANKAR, "A Method to Document Data Entry Forms," Temple University, ACM, 1984, pp. 89-91.	
	AA	LAWRENCE A. ROWE and KURT A. SHOENS, "A Form Application Development System," University of California, ACM, 1982, pp. 28-38.	
	BB	V. Y. LUM, D. M. CHOY and N. C. SHU, "Opas: An Office Procedure Automation System," IBM Systems Journal, Vol. 21, No. 3, 1982, pp. 327-350.	
	CC	D. TSICHRITZIS, "Form Management," ACM, Vol. 25, No. 7, 1982, pp. 453-478.	
	DD	"Custom Data Entry Form System for Software Applications," IBM Technical Disclosure Bulletin, April 1992, pp. 1-2.	
	EE	"Electronics Forms for the Dynamic Enterprise," JetForm FormFlow, Version 2.15.	

Examiner Signature	Date Considered
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